5-19-04

1638#/ MAY 1 8 2004 Complete if Known **Application Number** 10/024,010 TRANSMITTAL Filing Date December 21, 2001 for FY 2003 First Named Inventor Thomas R. WILLIAMS (Large Entity) **Examiner Name** D. Kruse Group Art Unit 1638 **Total Amount of Payment** \$180.00 **Attorney Docket Number** 1429-001

| METHOD OF PAYMENT (check one) | FEE CALCU | LATION (continued) | |
|------------------------------------------------------|----------------|------------------------------------------------|----------|
| | 3. ADDITIO | NAL EEES | |
| 1. X The Commissioner is hereby authorized to charge | | VALILLO | |
| additional fees and credit any overpayment to | Fee Fee | F B / .P | F D-14 |
| Deposit Account Number 50-2368 in the name of | Code Paid | Fee Description | Fee Paid |
| Jondle & Associates P.C. | 1051 130 | Surcharge - late filing fee or oath | į į |
| | 1052 50 | Surcharge - late provisional filing fee | l J |
| X Charge any Additional Fee Required Under | | or cover sheet | |
| 37 CFR 1.16 and 1.17 | 1053 130 | Non-English specification | į į |
| | 1812 2,520 | For filing a request for reexamination | l l |
| | 1804 920 | Requesting publication of SIR | |
| Applicant claims small entity status | | prior to Examiner action | |
| | 1805 1,840* | Requesting publication of SIR | [] |
| Payment Enclosed | | after Examiner action | |
| X Check | 1251 110 | Extension for reply within first month | [] |
| | 1252 420 | Extension for reply within second month | [] |
| Credit Card | 1253 950 | Extension for reply within third month | [] |
| | 1254 1,480 | Extension for reply within fourth month | |
| FEE CALCULATION | 1255 2,010 | Extension for reply within fifth month | |
| | 1401 330 | Notice of Appeal | [] |
| 4 FILING FEE | 1402 330 | Filing a brief in support of an appeal | [] |
| 1. FILING FEE | 1403 290 | Request for Oral Hearing | [] |
| Fee Fee | 1451 1,510 | Petition to institute a public use proceeding | į į |
| Code \$ Fee Description Fee Paid | 1452 110 | Petition to revive -unavoidable | ĺĺ |
| 1001 770 Utility filing fee [] | 1453 1,330 | Petition to revive - unintentional | î î |
| 1002 340 Design Filing Fee [] | 1501 1,330 | Utility issue fee (or reissue) | i i |
| 1003 530 Plant Filing Fee [] | 1502 480 | Design issue fee | i i |
| 1004 770 Reissue Filing Fee [] . | 1503 640 | Plant issue fee | i i |
| 100p 160 Provisional Filing Fee [] | 1460 130 | Petitions to the Commissioner | i. i |
| | 1807 50 | Processing fee under 37 CFR 1.17(q) | i i |
| SUBTOTAL \$ | 1806 180 | Submission of Information Disclosure Statement | ี้ ดลเว็ |
| •••••••••••••••••••••••••••••••••••••• | 8021 40 | Recording each patent assignment per property | |
| 2. CLAIMS | , 0021 40 | (times number of properties) | |
| | 1809 770 | Filing a submission after final rejection | [] |
| Extra | 1003 770 | (37 CFR .129(a)) | . , |
| Claims Fee Fee | 1810 770 | For each additional invention to be | [] |
| Paid | 1010 770 | examined (37 CFR 1.129(b)) | r 1 |
| Total Claims [] - 20** = [] x \$18 = [] | 1801 770 | Request for Continued Examination (RCE) | 1 1 |
| Independent | | • | 1 1 |
| Claims [] - 3^{**} = [] x 86 = [] | 1802 900 | Request for expedited examination | [] |
| Multiple Dependent Claims + 290 = [] | 4504 000 | of a design application | , , |
| | 1504 300 | Publication fee for early, voluntary, or | l J |
| **or number previously paid, if greater; | | normal publication | |
| | 1505 300 | Publication fee for republication | į į |
| SUBTOTAL \$ | 1455 200 | Filing application for patent term adjustment | ĺ |
| · | 1456 400 | Request for reinstatement of term reduced | į j |
| | 1814 110 | Statutory Disclaimer | l] |
| | | Other fee (specify) | |
| | * Reduced by B | asic Filing Fee Paid SUBTOTAL | \$180 |

| SUBMITTED BY | | Complete (if applicable) |
|-------------------------|------------------------------------|----------------------------|
| NAME AND REG. NUMBER | Kenyon L. Schuett, Reg. No. 44,324 | |
| SIGNATURE | May 18, 2004 | DEPOSIT ACCOUNT USER ID |

| <u> </u> | PEVO | | | | | | | |
|------------|-----------------------------------------------------|--------------------|----------------------------------------------------------------------|-------------------|-------------------|---------|--------------------------------------------|--|
| | only H | | | | Comp | olete i | f Known | |
| M | M 1 8 2004 "H | Application Number | | | 10/024,010 | | | |
| ETA | TRANSMITTAL F (to be used for all correspondence | Filing Date | | | December 21, 2001 | | | |
| | (to be used for all correspondence | after in | itial filing) | First Named Inve | ntor | | Thomas R. WILLIAMS | |
| ļ | | | C. | Examiner Name | | | D. Kruse | |
| | | | | Group Art Unit | | | 1638 | |
| | Total Number of Pages in | This S | ubmission | Attorney Docket | Numbe | r | 1429-001 | |
| | | ENCL | OSURES (chec | k all that apply) | | | | |
| X | Fee Transmittal Form | | Assignment Par | pers | | | r Allowance nmunication to Group | |
| | X Fee Attached | | Drawing(s) | | | Ann | eal Communication to | |
| □. | Amendment/Reply | | Licensing-related Papers | | | Boa | rd of Appeals and rferences | |
| | After Final | | Petition | | | Ann | eal Communication to | |
| | Affidavits/declaration(s) | | Petition to Convert to a Provisional Application | | | Gro | up (Appeal Notice, Brief, oly Brief) | |
| | Extension of Time Request | | Power of Attorney, Revocation Change of Correspondence Address | | | Prop | orietary Information | |
| | Express Abandonment Request | | | | | Stat | us Letter | |
| X | Information Disclosure Statement | | Terminal Discla | | | | Other Enclosure(s) (please dentify below): | |
| □ . | Certified Copy of Priority | | Request for Refund | | | | pies of cited references | |
| | Document(s) | | CD, Number of CD(s) | | | | | |
| □ ' | Response to Missing Parts/ Incomplete Application | | | REMARKS: | | | | |
| | Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | |

| SUBMITTED BY | | Complete (if applicable) | | |
|-----------------------|------------------------------------|--------------------------|----------------------------|---|
| NAME & REG. NUMBER | Kenyon L. SCHUETT, Reg. No. 44,324 | | | - |
| SIGNATURE | RIMAN L. Shuth DATE | May 18, 2004 | DEPOSIT ACCOUNT USER ID | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of |) |
|----------------------------|---------------------------|
| Thomas R. WILLIAMS |)) |
| Serial No. 10/024,010 |)) Examiner: D. Kruse |
| Filed: December 21, 2001 |) Group Art Unit: 1638 |
| For: Inbred Corn Line HC53 |)) |

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P O Box 1450 Alexandria VA 22313-1450

Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant submits herewith copies of publications that the Office may wish to consider in examination of the subject application. The publications are listed on the attached form PTO-1449.

Attached is our check in the amount of \$180.00 for filing this Information

Disclosure Statement. If additional fees are due or overpaid, please use Deposit

Account 50-2368 in the name of Jondle & Associates P.C.

05/20/2004 SZEWDIE1 00000012 10024010

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180.00 OP

Respectfully submitted,

Kenyon/L. Schuett, Reg. No. 44,324

Attorney for Applicants Jondle & Associates P.C.

9085 E. Mineral Circle, Suite 200

Centennial, CO 80112 Telephone: (303) 799-6444

Enclosure(s):

| MAY 1 8 2004 H | | | | | | Complete if Known | | | | | |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------------------|--------------------------------------------|---------------|-------------------------------------------|------------------------------------------------------------------------------------|----------------------------|--------------------------------------------------|--|--|
| ι/ | | | Application Number 10/024,010 | | | | | | | | |
| INFORMATION DISCLOSURE | | Filing D | ate | December 21, 2001 | | | | | | | |
| SIAI | EMEN | I DT. | APPLIC | ANI | First Na | amed Inventor | Thomas R. WILLIAMS | | | | |
| | | | | | Group A | Art Unit | 1638 | | | | |
| | | | | | Examin | er Name | D. Kruse | | | | |
| Sheet | 1 | | of | 1 | Attorne | y Docket Number | 1429-001 | | | | |
| | | | | | U.S. PATE | ENT DOCUMENTS | | | | | |
| Examiner Initials* | Cite No.1 | Numb | | ent Docume Kind Co | de | | Name of Patentee or Applicant of Cited Document | | Date of Publication of Cited Document MM-DD-YYYY | | |
| - | | 5,304 | 5,304,717 | | | MILLER, Richard | J. | 04-19-1994 | | | |
| • | | 6,025 | 6,025,547 6,096,953 | | | STUCKER, David | UCKER, David Scott | | 02-15-2000 | | |
| | | 6,096 | | | | HOFFBECK, Mark David | | 08-01-2000 | | | |
| | | | OTHER | PRIOR AR | T - NON F | PATENT LITERATI | URE DOCUMENTS | | | | |
| Examiner Initials* | Cite No. | | | | ournal, seria | | of the article (when appropria etc.), date, page(s), volume- where published | | T ₆ | | |
| | | | d, 1960, 155-156 | Backcross | Breeding, | In Principles of Pla | ant Breeding, John Wile | ey & Sons, Inc., | | | |
| • | Darnell, James, et al., 1990, DNA Replication, Repair and Recombination, <i>In</i> Molecular Cell Biology, 2 nd Edition, p. 478 | | | | | | | | | | |
| | | Petu | nia Resu | yn, et al., 1 lts in Reve p. 279-289 | rsible Co- | duction of a Chimer Suppression of Hor | ric Chalcone Synthase mologous Genes <i>in trai</i> | Gene into ns, The Plant | | | |
| | | | | | | | Manipulation, <i>In</i> Corn a raph No. 18, 3 rd edition | | ļ | | |
| | | | | | | | | | | | |
| Examiner | | | • | | | | Date | • | | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. Applicant is to place a check mark here if English language Translation is attached.